

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Dock t No.	08386.0003	Appln. No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KJS-	6,398,773	6/4/02	Bagaoisan et al.	604	509	
J	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	
	6,152,909	11/28/00	Bagaoisan et al.	604	523	

FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	<i>Katherine L. Henderson</i>	Date Considered	<i>4/9/04</i>
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 08386.0003	Serial No. 09/845,162	RECEIVED OCT 25 2001 TECHNOLOGY CENTER R3700
Applicant Dennis W. WAHR et al.		
Filing Date May 1, 2001	Group: 3763	

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>[Signature]</i>	Theron, Jacques, G., MD, "Carotid Artery Stenosis: Treatment with Protected Balloon Angioplasty and Stent Placement," Radiology, Vol. 201, No. 3, December 1996, pp. 627-636.
	Hurst, Robert W., MD, "Carotid Angioplasty," Radiology, Vol. 201, No. 3, December 1996, pp. 613-616.
	Tanaka, Masato, et al., "Percutaneous Transluminal Angioplasty (PTA) for Stenosis at the Subclavian Artery and at the Origin of the Vertebral Artery: Therapeutic indication and some adjunctive safe methods during PTA," Neurological Surgery, Vol. 22, No. 10, 1994, pp. 939-946
	Terada, Tomoaki, et al., "Newly Developed Blocking Balloon Catheter for PTA of Internal Carotid Artery," Neurological Surgery, Vol. 21, No. 10, 1993, pp. 891-895.
	Soler-Singla, L., et al., "Angioplastia Carotidea Con Proteccion Cerebral Y Prótesis Endovascular," Revista De Neurologia, 1997; Vol. 25, No. 138, pp. 287-290.
	Kinoshita, Akira, et al., "Percutaneous Transluminal Angioplasty of Internal Carotid Artery: A Preliminary Report of Seesaw Balloon Technique," Neurological Research, 1993.
	Kinney, Thomas B., et al., "Shear Force in Angioplasty: Its Relation to Catheter Design and Function," American Journal of Roentgenology, January 1985, pp. 115-122.
	McCleary, A.J., et al., "Cerebral Haemodynamics and Embolization During Carotid Angioplasty in High-Risk Patients, The British Journal of Surgery, Vol. 85, No. 6, June 1988, pp. 771-774.
	Theron, J. et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Journal of Neuroradiology, September 1990, pp. 869-874.
	Therón, Jacques, "Angioplasty of Brachiocephalic Vessels," Interventional Neuroradiology Endovascular Therapy of the Central Nervous System, Chapter 13, 1992, pp. 167-180.

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08386.0003	Serial No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763
Examiner	<i>Kathy Flynn</i>		
Date Considered	<i>9/8/04</i>		
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		



RECEIVED

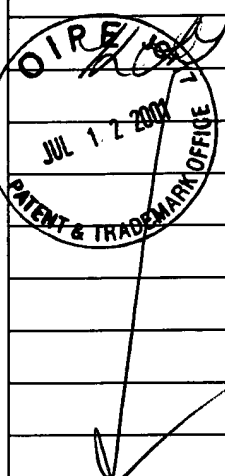
OCT 25 2001

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08386.0003	Serial No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	Unknown

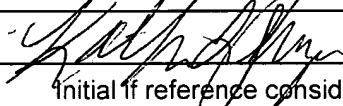
U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,921,478	May 1, 1990	Solano et al.	604	53	
	5,350,395	Sept. 27, 1994	Yock	606	194	
	5,451,207	Sept. 19, 1995	Yock	604	53	
	5,451,233	Sept. 19, 1995	Yock	606	194	
	5,527,292	June 18, 1996	Adams et al.	604	171	
	5,573,508	Nov. 12, 1996	Thornton	604	96	
	5,749,888	May 12, 1998	Yock	606	194	
	5,769,868	June 23, 1998	Yock	606	194	
	6,036,715	March 14, 2000	Yock	606	194	

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner		Date Considered	4/8/04
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Atty. Docket No. 08386.0003	Serial No. Not Assigned Yet
Applicant Dennis W. WARR et al.	
Filing Date May 1, 2001	Group: Not Assigned Yet

1-cv-72 U.S. PTO
09/845162
05/01/01

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KYL	5,989,263	November 23, 1999	Shmulewitz	606	108	
	6,013,085	January 11, 2000	Howard	606	108	
	6,146,370	November 14, 2000	Barbut	604	500	
	6,176,844 B1	January 23, 2001	Lee	604	101.04	
	5,833,650	November 10, 1998	Imran	604	53	
	5,843,022	December 1, 1998	Willard et al.	604	30	
	5,971,938	October 26, 1999	Hart et al.	600	562	
	4,655,746	April 7, 1987	Daniels et al.	604	53	
	5,484,412	January 16, 1996	Pierpont	604	101	
	5,423,742	June 13, 1995	Theron	604	28	
	6,156,005	December 5, 2000	Theron	604	96	
	6,022,336	February 8, 2000	Zadno-Azizi et al.	604	96	
	5,059,178	October 22, 1991	Ya	604	101	
	5,011,488	April 30, 1991	Ginsburg	606	159	
	5,569,204	October 29, 1996	Cramer	604	164	
	6,135,991	October 24, 2000	Muni et al.	604	509	
	6,206,868 B1	March 27, 2001	Parodi	604	500	

**INFORMATION DISCLOSURE CITATION**
(Use several sheets if necessary)

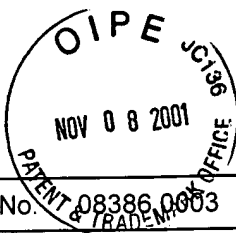
Atty. Docket No. 08386-0003	Serial No. Not Assigned Yet
Applicant Dennis W. WAHR et al.	
Filing Date May 1, 2001	Group: Not Assigned Yet

10072 U.S. PTO
09/845162
05/01/01**FOREIGN PATENT DOCUMENTS**

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
<i>KD</i>	WO 99/45835	September 16, 1999		A61B		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <i>Kelly L. Myers</i>	Date Considered <i>4/8/04</i>
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce



INFORMATION DISCLOSURE CITATION

Atty. Docket No. 08386,0003	Serial No. 09/845,162
Applicant Dennis W. WAHR et al.	
Filing Date May 1, 2001	Group: 3763

U.S. PATENT DOCUMENTS

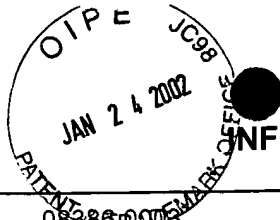
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KHY.	6,295,989	10/2/01	Connors, III	128	898	RECEIVED NOV 13 2001 TC 3700 MAIL ROOM

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <i>Kathy D. Hays</i>	Date Considered <i>9/8/01</i>
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce



INFORMATION DISCLOSURE CITATION

Atty. Docket No. 083860005	Serial No. 09/845,162
Applicant Dennis W. WAHR et al.	
Filing Date May 1, 2001	Group: 3763

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KAG.	5,385,562	1/31/95	Adams et al.	604	280	

RECEIVED
JAN 31 2002
MAIL ROOM

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Kathy L. Monahan	Date Considered 4/8/02
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No. 09/845,162.0003	Appln. No. 09/845,162
Applicant Dennis W. WAHR et al.	
Filing Date May 1, 2001	Group: 3763

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KY	6,398,773	6/4/02	Bagaoisan et al.	604	509	
	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	
	6,152,909	11/28/00	Bagaoisan et al.	604	523	

RECEIVED
JUN 24 2002
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <i>Kate L. Jones</i>	Date Considered <i>4/8/04</i>
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce



INFORMATION DISCLOSURE CITATION

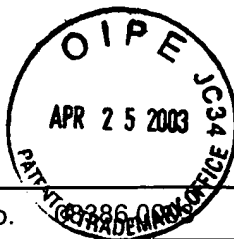
Atty. Docket No.	09/845,162	Appln. No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
KCH	5,219,355	06/15/93	Parodi et al.			RECEIVED APR 29 2003
	5,250,060	10/05/93	Carbo et al.			
	5,533,987	07/09/96	Pray et al.			TECHNOLOGY CENTER R3700
	5,643,208	07/01/97	Parodi			
	5,709,701	01/20/98	Parodi			
	5,797,949	08/25/98	Parodi			
	5,820,595	10/13/98	Parodi			
	5,868,706	02/09/99	Cox			
	5,891,090	04/06/99	Thornton			
	6,007,545	12/28/99	Venturelli			
	6,117,124	09/12/00	Parodi			
	6,159,195	12/12/00	Ha et al.			
	6,228,072 B1	05/08/01	Omaleki et al.			
	6,231,588 B1	05/15/01	Zadno-Azizi			
	6,270,477 B1	08/07/01	Bagaoisan et al.			
	6,312,407 B1	11/06/01	Zadno-Azizi et al.			
	6,413,235 B1	07/02/02	Parodi			
	6,423,032 B2	07/23/02	Parodi			
	6,454,741 B1	09/24/02	Muni et al.			
	6,497,670 B1	12/24/02	Parodi			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
WO 97/44082	11/27/97	PCT			
WO 98/38930	09/11/98	PCT			
WO 98/39046	09/11/98	PCT			



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09/845,162	Appln. No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763

RECEIVED
APR 25 2003

	WO 98/39047	09/11/98	PCT		TECHNOLOGY CENTER R3700
	WO 99/42059	08/26/99	PCT		
	WO 99/42157	08/26/99	PCT		
	WO 00/07657	02/17/00	PCT		
	WO 00/56391 A1	09/28/00	PCT		
	WO 00/76390 A2	12/21/00	PCT		
	WO 01/12104 A1	02/22/01	PCT		
	WO 01/45590 A2	06/28/01	PCT		
	WO 01/45592 A1	06/28/01	PCT		
	WO 01/58382 A2	08/16/01	PCT		
	WO 01/70326 A1	09/27/01	PCT		
	WO 01/91844 A1	12/06/01	PCT		
	WO 02/22199 A2	03/21/02	PCT		
	WO 02/32495 A1	04/25/02	PCT		
	WO 03/008015 A2	01/30/03	PCT		
	WO 03/009880 A2	02/06/03	PCT		

PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initial*	Document Number	Publn. Date	Name	Class	Sub Class	Filing Date If Appropriate
	2001/0044598 A1	11/2001	Parodi			
	2001/0047184 A1	11/2001	Connors, III			
	2002/0016564 A1	02/2002	Courtney et al.			
	2002/0016565 A1	02/2002	Zadno-Azizi et al.			
	2002/0026145 A1	02/2002	Bagaoisan et al.			
	2002/0029031 A1	03/2002	Bagaoisan et al.			
	2002/0035347 A1	03/2002	Bagaoisan et al.			
	2002/0052638 A1	05/2002	Zadno-Azizi			
	2002/0065507 A1	05/2002	Zadno-Azizi			
	2002/0087119 A1	07/2002	Parodi			



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	0886,0003	Appln. No.	09/845,162
Applicant	Dennis W. WAHR et al.		RECEIVED APR 29 2003
Filing Date	May 1, 2001	Group:	

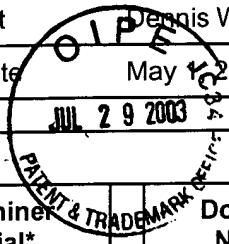
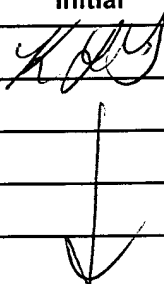
<i>KPS</i>	2002/0091407 A1	07/2002	Zadno-Azizi et al.	TECHNOLOGY CENTER R3700
	2002/0107479 A1	08/2002	Bates et al.	
	2002/0151922 A1	10/2002	Hogendijk et al.	
	2002/0173815 A1	11/2002	Hogendijk et al.	

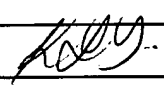
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

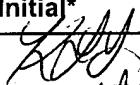
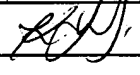
Examiner	<i>Kathleen Mayse</i>	Date Considered	<i>4/8/04</i>
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	

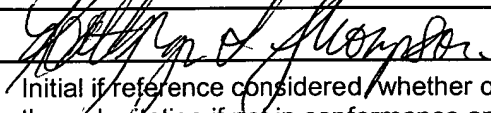
INFORMATION DISCLOSURE CITATION

Atty. Docket No. 8386.0003-00	Appln. No. 09/845,162
Applicant Dennis W. WAHR et al.	
Filing Date May 1, 2001	Group: 3763

U.S. PATENT DOCUMENTS							
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate	
 	6,423,032 B2	07/2002	Parodi				
	5,108,414	04/1992	Enderle et al.				
	5,453,099	09/1995	Lee et al.				
	6,398,773 B1	06/2002	Bagaoisan et al.				
	6,126,635	10/2000	Simpson et al.				

FOREIGN PATENT DOCUMENTS							
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	
	WO 00/44429	08/2000	PCT				

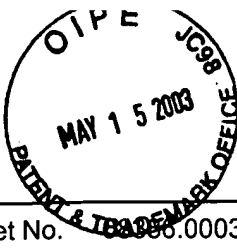
U.S. PATENT APPLICATION PUBLICATIONS							
Examiner Initial*	Document Number	Publication Date	Name	Class	Sub Class	Filing Date If Appropriate	
 	2001/037085 A1	11/2001	Keith et al.				
	2002/022859 A1	02/2002	Hogendijk				

Examiner 	Date Considered 4/8/04
*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

RECEIVED

JUL 30 2003

TECHNOLOGY CENTER R3700



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	09/845,162	Appl. No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763

RECEIVED

MAY 20 2003

TECHNOLOGY CENTER R3700

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Dat If Appropriate
	6,042,605	3/2000	Martin et al.			
	6,361,637 B2	3/2002	Martin et al.			
	6,520,986 B2	2/2003	Martin et al.			
	6,355,016 B1	3/2002	Bagaoisan et al.			
	6,540,712 B1	4/2003	Parodi et al.			

PUBLISHED U.S PATENT APPLICATIONS

Examiner Initial*	Document Number	Publication Date	Name	Class	Sub Class	Filing Dat If Appropriate
	2001/0049550 A1	12/2001	Martin et al.			
	2002/0002397 A1	1/2002	Martin et al.			
	2002/0062119 A1	5/2002	Zadno-Azizi			
	2002/0161395 A1	10/2002	Douk et al.			
	2002/0188314 A1	12/2002	Anderson et al.			
	2003/0009146 A1	1/2003	Muni et al.			

FOREIGN PATENT DOCUMENTS

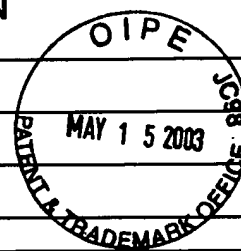
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	WO 00/54673	9/2000	PCT		
	WO 98/38929	9/1998	PCT		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner		Date Considered	4/8/02
*Examiner:	Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		

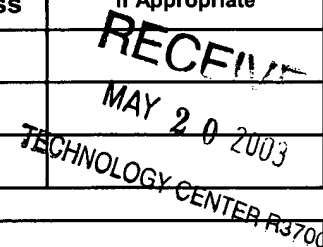
INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08386.0003-00	Appln. No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763



U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate



PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initial*	Document Number	Publication Date	Name	Class	Sub Class	Filing Date If Appropriate
<i>[Signature]</i>	2003/0023204 A1	1/2003	Vo et al.			
	2003/0040694 A1	2/2003	Dorros et al.			
	2003/0040704 A1	2/2003	Dorros et al.			
	2003/0040705 A1	2/2003	Dorros et al.			
	2003/0040762 A1	2/2203	Dorros et al.			
	2003/0055398 A1	3/2003	Imran			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	<i>[Signature]</i>	Date Considered	<i>4/8/04</i>
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	